

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/199,305	11/17/98	OHSAWA	K P98.2198

HILL & SIMPSON  
A PROFESSIONAL CORPORATION  
85TH FLOOR SEARS TOWER  
CHICAGO IL 60606

0232/1217

NOT ASSIGNED

2812

DATE MAILED:

12/17/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.
- \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- \$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
- ☐ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing-Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

02/16/1999 RTSEONE 0000030 0959305  
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

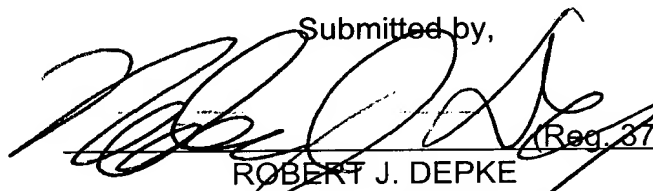
SUBMISSION OF SIGNED DECLARATION

APPLICANTS: Kenji Ohsawa et al. ATTORNEY DOCKET NO: P98,2198  
SERIAL NO.: 09/199,305 GROUP ART UNIT: 2812  
FILED: November 25, 1998  
TITLE: "SEMICONDUCTOR DEVICE, METHOD MAKING THE SAME  
AND ELECTRONIC DEVICE USING THE SAME"

Hon. Assistant Commissioner for Patents, ATTN: APPLICATION DIVISION  
Washington, D.C. SPECIAL HANDLING UNIT

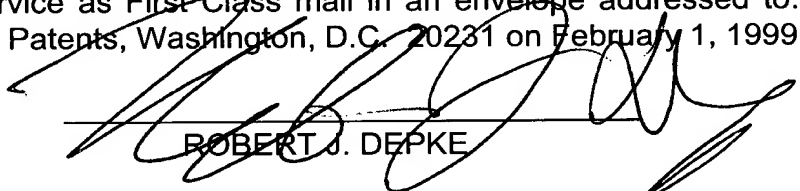
S I R:

Applicants herewith submit a signed Declaration in response to the Notice to File Missing Parts dated December 17, 1998 (copy attached). A check for the statutory fee in the amount of \$130.00 is submitted. Applicants authorize the Patent Office to charge Deposit Account No. 08-2290 for any additional fees required for submitting this document. A duplicate sheet is attached.

Submitted by,  
  
(Reg. 37,607)  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on February 1, 1999.

  
ROBERT J. DEPKE